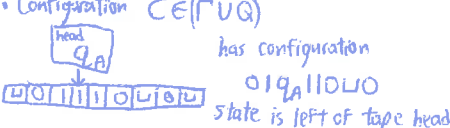


# Definitions

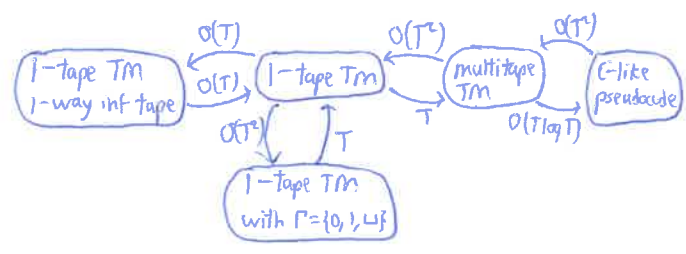
- alphabet  $\Sigma$  = finite nonempty set of symbols
- string over  $\Sigma$  = any finite sequence of symbols
- $x$ : string,  $|x|$  = length of string
- $\epsilon$  = empty string = string of length 0
- $\Sigma^n$  = strings over  $\Sigma$  with exactly length  $n$
- $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \dots = \bigcup_{i=0}^{\infty} \Sigma^i$
- $\langle X \rangle_{\Sigma}$  = encoding of math object  $X$  as a string in  $\Sigma^*$ , if  $\Sigma$  not specified then  $\Sigma = \{0,1\}$ .
- Reasonable encodings are
  - ① injective,  $X \neq Y \Rightarrow \langle X \rangle \neq \langle Y \rangle$
  - ② data structure storing  $X$   $\xrightarrow{\text{enc}}$   $\langle X \rangle$  by "simple", "efficient" alg
  - ③  $|\langle X \rangle|$  not much longer than it needs to be
- $[w]_{\tau}$  = decoding of  $w$  as type  $\tau$
- Guido: garbage/unparseable input = default object
- Decision problem:  $f: \Sigma^* \rightarrow \{\text{no}, \text{yes}\}$
- Function problem:  $f: \Sigma^* \rightarrow \Sigma^*$ , one unique answer
- Search problem:  $f: \Sigma^* \rightarrow \Sigma^*$ ,  $\begin{cases} \langle \text{answer(s)} \rangle \\ \langle \text{no answer} \rangle \end{cases}$
- Language is any subset of  $\Sigma^*$
- Church Turing Thesis: any real world alg can be simulated by a Turing machine
- Extended Church Turing Thesis: any real world alg running in  $T$  steps can be simulated by a TM running in  $\text{poly}(T)$  steps

**Turing Machine**  $M = (\Sigma, \Gamma, Q, q_0, q_{acc}, q_{rej}, \delta)$   
 $\emptyset \neq \Sigma \quad \Gamma = \{0,1\} \cup \Sigma \cup \{\text{other symbols}\} \quad q_{acc} \neq q_{rej}$   
 $\delta: (Q \cup \{q_{acc}, q_{rej}\}) \times \Gamma \rightarrow \Gamma \times \{L, R\} \times Q$



initial configuration:  $q_0 x$   
 halting configuration if contain either  $q_{acc}$  or  $q_{rej}$   
 NextConfig $_M(C)$ , where  $C = u a q b v$   
 $= \begin{cases} u q' a d v & \text{if } \delta(q, a) = (q', L, v) \\ u a d q' v & \text{if } \delta(q, a) = (q', R, v) \end{cases}$

- Computation Trace of TM  $M$  on  $x \in \Sigma^*$   
 $= C_0 C_1 C_2 \dots$  where  $C_{t+1} = \text{NextConfig}_M(C_t)$   
 either until halting configuration  $C_t$  is reached or indefinitely  
 Reaching  $C_t = \text{halts}$ ,  $q_{acc} \in C_t = \text{accepts}$ ,  $q_{rej} \in C_t = \text{reject}$ ,  
 $M$  runs in time  $t$  on input  $x$
- $M$  decider =  $\forall x \in \Sigma^*, M(x)$  halts
- $M$  decides  $L$  iff  $M$  decider,  $\forall x \in L$  ( $M(x)$  accepts),  $\forall x \notin L$  ( $M(x)$  rejects)
- $M(x)$  outputs  $y$  if final config  $q_{acc} y$
- $M$  solves function problem  $f$  if  $\forall x \in \Sigma^*, M(x)$  outputs  $f(x)$
- Running time  $T_M(n) = \max_{|x|=n} \{ \text{time } M \text{ takes on input } x \}$



- TM Tricks, where  $M'$  is usual model
  - $M$  can stay put,  $T_{M'}(n) \leq 2T_M(n)$  } go left then  $j$
  - $M$  double moves,  $T_{M'}(n) \leq 2T_M(n)$  }
  - Symbol marking by doubling tape alphabet
  - marking application: simulate 1-way inf by 2-way inf at cost of 1 extra time step
  - stretching input  $aba \rightarrow a \_ b \_ a$  in  $O(|x|)$  time
  - reduce any tape alphabet to  $\{0,1,\_ \}$  (standard alphabet); if  $M$  runtime  $T(n)$  then achieved by reencoding (stretching, note  $\_ \rightarrow \_ \_$ )  $M'$  runtime  $O(T(n)) + O(n^2)$
  - any  $k$ -tape TM w/ runtime  $T(n)$  can be simulated by  $M'$  with runtime  $O(T(n)^2)$ .
- Palindrome decided by 2-tape TM in  $O(n)$  time
- Hemie BS proved 1-tape TM for palindrome  $\Omega(n^2)$  time } quadratic slowdown cannot be improved

For  $t: \mathbb{N} \rightarrow \mathbb{R}$ ,  $\text{TIME}(t(n)) = \{ \text{languages } L : \exists \text{ TM deciding } L \text{ in } O(t(n)) \text{ time} \}$   
 $P = \bigcup_{c \in \mathbb{N}} \text{TIME}(cn)$

note choice of model matters!

- standard-alphabet TM has  $\Sigma = \{0,1\}$  and  $\Gamma = \{0,1,\_ \}$
- ACCEPTS =  $\{ \langle M, w \rangle : M \text{ std alphabet, } w \in \{0,1\}^*, M \text{ accepts} \}$
- BOUNDED ACCEPTS $_2 = \{ \langle M, w \rangle : M(w) \text{ accepts within } 2^{|w|} \text{ steps} \}$
- Universal Turing Machine  $U$  simulates  $\langle M, w \rangle$  } keeps if  $M(w)$  halts, same output as  $M(w)$  otherwise
- Turing36 proved  $\exists \text{UTM } U$ .  
 Thm.  $\exists U$ , if  $M(w)$  halts in  $t$  steps then  $U$ 's simulation has time  $O(|\langle M, w \rangle|^2 \cdot t)$
- Alarm-clockd UTIM  $U$  has time bound  $t \in \mathbb{N}$ ,  $U(\langle t, M, w \rangle)$  runs until first of  $M(w)$  halts,  $M(w)$  must run  $t$  steps  
 and  $U(\langle t, M, w \rangle)$  at most  $O(|\langle M, w \rangle|^2 + \log t) \cdot t$  steps
- $f: \mathbb{N} \rightarrow \mathbb{R}$  clockable if  $\exists$  tape TM computing  $m \rightarrow \lceil f(m) \rceil$  in  $O(f(m))$  steps
- Thm. if  $f$  clockable,  $\exists U_f$  st if  $\langle M, w \rangle = n$  then  $U_f(\langle n, M, w \rangle)$  simulates  $M(w)$  correctly for up to  $f(n)$  steps in time  $O(n \log n) + O(|\langle M, w \rangle|^2 + \log f(n)) \cdot f(n) \leq O(n^2 + \log f(n)) \cdot f(n)$
- (Cor. BoundedAccepts $_f \in \text{TIME}(n^2 \cdot f(n))$ )

## Time Hierarchy Theorem

- A-tier:  $f(n)$  clockable,  $n \log n \leq O(f(n)) \Rightarrow \exists L, L \notin \text{TIME}(f(n) \cdot \log f(n))$
- B-tier:  $f(n)$  clockable,  $n^2 \log n \leq O(f(n)) \Rightarrow \exists L, L \notin \text{TIME}(n^2 \cdot f(n) \cdot \log f(n))$
- C-tier:  $\exists L \in \text{TIME}(2^n)$ ,  $\nexists$  std alphabet TM deciding  $L$  with  $T(n) \leq O(1.1^n)$
- D-tier:  $\exists L \in \text{TIME}(2^n)$ ,  $\nexists$  std alphabet TM deciding  $L$  with  $T(n) \leq 2^n$

Turing36 ACCEPTS undecidable  
 AF50C  $M_A$  decides ACCEPTS, let  $D(\langle M \rangle) = \{ M_A(\langle M, \langle M \rangle \rangle) \}$ , what is  $D(D?)$ ?  $\downarrow$

- Boolean Circuits  
 input gates  $x_i, \wedge, \vee, \neg, 1, 0$ , size = # gates, depth = max dist between input/output  
 boolean formula = all gates fan-out 1  
 tree method to simulate fan-in  $> 2$   
 $n$  inputs,  $m$  gates:  $m \leq |C| \leq O(n \log m)$

Efficient Chip Fabrication Thm  
 For  $M$  TM deciding  $L \in \{0,1\}^*$ ,  $T_M(n) \geq n$ , then for any  $n$  exists  $n$ -input  $C_n$  of size  $O(T_M(n)^2)$  deciding  $L$  on length- $n$  inputs  
 if  $T_M(n) \leq n^k$ , then  $\exists F_M$  st  $F_M(n)$  outputs  $\langle C_n \rangle$  in  $\text{poly}(n)$  time.

Problems in P

- $s \rightsquigarrow t$  path,  $\langle G, s, t \rangle \rightarrow \{0, 1\}$   
 $O(mn)$  - iterate over edges until no new marked vertices  
 $O(m)$  - BFS
- 2-COLORING,  $\langle G \rangle \rightarrow \{0, 1\}$   
 $O(m2^n)$  - brute force  
 $O(mn)$  - BFS coloring on every connected component, conflict  $\Rightarrow$  odd cycle  $\Rightarrow$  not 2-colorable
- 3-COLORING,  $\langle G \rangle \rightarrow \{0, 1\}$   
 $O(m3^n)$  - brute force  
 $O(m2^n)$  - ??  
 $O(1.33^{\text{poly}(n)})$  - Beigel-Epstein 01
- Longest Common Subsequence,  $x, y \in \Sigma^n \rightarrow$  length of  $\text{lcs}(x, y)$   
 $O(n2^n)$  - brute force  
 $O(n^2) \Rightarrow \text{LCS}_{i,j} = \begin{cases} 1 + \text{LCS}'_{i-1, j-1} & \text{if } x[i] = y[j] \\ \max\{\text{LCS}'_{i, j-1}, \text{LCS}'_{i-1, j}\} & \text{else} \end{cases}$   
 where  $\text{LCS}'_{i,j} = \text{LCS}(x[1:i], y[1:j])$
- 3-CLIQUE/TRIANGLE-FINDING,  $\langle G \rangle \rightarrow \{0, 1\}$   
 $O(n^3)$  - brute force  
 $O(n^{2.373})$  - matrix magic
- K-CLIQUE,  $\langle G \rangle \rightarrow \{0, 1\}$   
 $O(n^k)$  - brute force

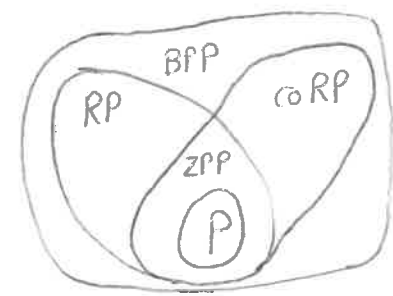
Randomized Algorithms

Problem	Randomized	Deterministic
Primality Testing	$O(n^{1/2})$	$O(n^6)$
Median Finding	$O(n)$	$O(n)$
Verify Matrix Multiply	$O(n^2)$	$O(n^{2.373})$ <small>impr. Adleman</small>
Minimum Spanning Tree	$O(m)$	$O(m \alpha(m, n))$
3SAT	$O(1.31^n)$	$O(1.34^n)$
Polynomial Identity Testing	unsolved but simple	open

- Probabilistic Turing Machine PTM has two  $\delta_1, \delta_2$  where it applies either at each step with probability  $1/2$ .  
 Running time for  $x$  if  $x$  input random choices, at most  $F(|x|)$  steps.
- PTM  $M$  decides  $L$  with one-sided error  $\epsilon$  if  $M$  always halts and  
 $\forall x \in L, \mathbb{P}\{M(x) \text{ accepts}\} \geq 1 - \epsilon$  } no false positives  
 $\forall x \notin L, \mathbb{P}\{M(x) \text{ accepts}\} = 0$  }  $\epsilon$  chance of false negative
- $\text{RTIME}(f(n)) = \{L : \exists \text{PTM } M \text{ w/ runtime } O(f(n)) \text{ deciding } L \text{ w/ } \epsilon \leq 1/3\}$
- $\text{RP} = \bigcup_{c \in \mathbb{N}} \text{RTIME}(n^c)$
- Error Reduction: run  $k$  independent copies  $\Rightarrow$  one-sided error at most  $\epsilon^k$
- $\text{COMPOSITES} = \{n \mid \exists a, b \in \mathbb{N}, n = ab \text{ for } 1 < a, b \in \mathbb{N}\} \in \text{RP}$   
 Number Theory fact:  $x$  prime  $\Rightarrow$  no composite witness  
 $x$  composite  $\Rightarrow$  at least  $3/4$  of  $0 \leq b < x$  witness compositeness,  
 ie.  $b^d \neq 1 \pmod{x}$  and  $b^{2d} = 1 \pmod{x}$   $\forall d \in \{0, 1, \dots, \lfloor \sqrt{x} \rfloor\}$   
 we can verify witnesses in deterministic polytime
- $L^c = \Sigma^* \setminus L$
- $\text{coRP} = \{L : L^c \in \text{RP}\}$ , ie.  $\exists \text{PTM } M$  such that  
 $\forall x \in L, \mathbb{P}\{M(x) \text{ accepts}\} = 1$   
 $\forall x \notin L, \mathbb{P}\{M(x) \text{ accepts}\} \leq 1/3$
- $\text{PRIMES} \in \text{coRP}$ , without proof also  $\text{PRIMES} \in \text{RP}$ , i.e.  $\text{PRIMES} \in \text{ZPP}$
- $\text{ZPP} = \text{RP} \cap \text{coRP}$   
 $\hookrightarrow$  Zero-Error Probabilistic Polynomial Time

•  $\text{BPP} =$  Bounded-Error Probabilistic Polynomial Time  
 $\text{LEBPP} \Rightarrow \exists \text{PTM } M, \forall x \in L, \mathbb{P}\{M(x) \text{ accepts}\} \geq 2/3$   
 $\forall x \notin L, \mathbb{P}\{M(x) \text{ accepts}\} \leq 1/3$

- We can similarly reduce error with  $k$  independent copies so that  
 $x \in L \Rightarrow \mathbb{P}\{M(x) \text{ accepts}\} \geq 1 - 1/3^k$   
 $x \notin L \Rightarrow \mathbb{P}\{M(x) \text{ accepts}\} \leq 1/3^k$



END DIDEROT CHAPTERS 1-8